STIC-ILL

Fr m:

Sent:

Sullivan, Daniel Monday, October 21, 2002 12:26 PM STIC-ILL Request

781

7.7

Subject:

Please send the following:

ACCESSION NUMBER: 1990:155203 BIOSIS SOURCE: GENE (AMST), (1989) 84 (2), 429-438

Gene 1988 Mar 31;63(2):321-30

Thank you.

Daniel M. Sullivan Examiner AU 1636 Room: 12D12 Mail Box: 11E12 Tel: 703-305-4448

09957031

OH442. G43

Fr m:

Sullivan, Daniel Monday, October 21, 2002 12:26 PM STIC-ILL

Sent: To: Subject:

Request

Please send the following:

ACCESSION NUMBER: 1990:155203 BIOSIS SOURCE: GENE (AMST), (1989) 84 (2), 429-438

Gene 1988 Mar 31;63(2):321-30

Thank you.

Daniel M. Sullivan Examiner AU 1636 Room: 12D12 Mail Box: 11E12 Tel: 703-305-4448

09957031

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NEWS 23 Sep 03 JAPIO has been reloaded and enhanced

NEWS 24 Sep 16 Experimental properties added to the REGISTRY

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NEWS 26 Sep 16 CA Section Thesaurus available in CAPLUS and CA

NEWS 27 Oct 01 CASREACT Enriched with Reactions from 1907 to

NEWS 28 Oct 21 EVENTLINE has been reloaded

NEWS EXPRESS October 14 CURRENT WINDOWS VERSION IS V6.01,

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AND CURRENT DISCOVER FILE IS DATED 01 OCTOBER 2002

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=> s cochleate

127 COCHLEATE L1

=> s multilamellar lipid

L2 230 MULTILAMELLAR LIPID

=> s 11 or 12

L3 357 L1 OR L2

=> s aav or adeno associated

6610 AAV OR ADENO ASSOCIATED

=> s 13 and 14

L5 1 L3 AND L4

=> d ti so

L5 ANSWER | OF | CAPLUS COPYRIGHT 2002 ACS

TI Integrative protein-DNA cochleate formulations and methods for transforming cells

SO PCT Int. Appl., 39 pp. CODEN: PIXXD2

=> d ibib ab

L5 ANSWER | OF | CAPLUS COPYRIGHT 2002 ACS ACCESSION NUMBER: 2000:420934 CAPLUS

DOCUMENT NUMBER:

133:48870

TITLE:

Integrative protein-DNA cochleate formulations and methods for transforming cells

INVENTOR(S):

Margolis, David; Gould-fogerite, Susan;

Mannino,

Raphael James

PATENT ASSIGNEE(S): University of Maryland, USA; University of Medicine

and Denistry of New Jersey

SOURCE:

PCT Int. Appl., 39 pp. CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

```
PATENT NO.
                      KIND DATE
                                         APPLICATION NO. DATE
                                                                           L9 ANSWER | OF | CAPLUS COPYRIGHT 2002 ACS
                                                                            TI Integrative protein-DNA cochleate formulations and methods for
       WO 2000035421 A2 20000622
                                        WO 1999-US29446
                                                                              transforming cells
     19991213
                                                                           SO PCT Int. Appl., 39 pp.
       WO 2000035421 A3 20001109
                                                                              CODEN: PIXXD2
         W: AU, CA, JP
         RW: AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU,
    MC, NL,
                                                                           => s recombinase
                                                                           L10 6979 RECOMBINASE
       US 6340591
                     BI 20020122
                                     US 1998-210578 19981214
                     A2 20011010 EP 1999-966144 19991213
       EP 1140023
         R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE,
                                                                           => s 13 and 110
                                                                           LH
                                                                                   0 L3 AND L10
       US 2002034822 A1 20020321
                                                                          => s recombin?
                                      US 2001-957031 20010921
    PRIORITY APPLN. INFO.:
                                                                          L12 694865 RECOMBIN?
                                     US 1998-210578 A 19981214
                        WO 1999-US29446 W 19991213
    AB An integrative DNA vector and one or more viral proteins having
                                                                          => s 13 and 112
    affinity
                                                                          L13
                                                                                  4 L3 AND L12
      for DNA are packaged in cochleate ppts. The integrative DNA
      vector contains one or more therapeutic nucleotide sequences that are
                                                                          => dup rem 113
                                                                          PROCESSING COMPLETED FOR LI3
      preferably positioned between DNA substrates for the proteins.
                                                                                   4 DUP REM L13 (0 DUPLICATES REMOVED)
   Upon
      contact with a lipid bilayer of a target cell, the cochleate
      vector structure delivers one or more of the therapeutic nucleotide
                                                                          => d ti so 1-4
     sequences and one or more proteins to the interior of the target cell.
     Upon entry into the cell, the proteins facilitate the integration of the
                                                                          L14 ANSWER I OF 4 CAPLUS COPYRIGHT 2002 ACS
      therapeutic nucleotide sequence into the genome of the host cell.
                                                                          TI Structural and functional characterization of liposomal
                                                                            recombinant hepatitis B vaccine
                                                                         SO Journal of Liposome Research (1996), 6(2), 289-304
                                                                            CODEN: JLREE7; ISSN: 0898-2104
   => d his
     (FILE 'HOME' ENTERED AT 11:48:35 ON 21 OCT 2002)
                                                                         L14 ANSWER 2 OF 4 CAPLUS COPYRIGHT 2002 ACS
                                                                         TI Liposome preparation and material encapsulation method
     FILE 'MEDLINE, BIOSIS, CAPLUS' ENTERED AT 11:48:46 ON
                                                                         SO PCT Int. Appl., 34 pp.
                                                                            CODEN: PIXXD2
  21 OCT 2002
  L1
          127 S COCHLEATE
                                                                         L14 ANSWER 3 OF 4 BIOSIS COPYRIGHT 2002 BIOLOGICAL
  L2
          230 S MULTILAMELLAR LIPID
                                                                         ABSTRACTS INC
  1.3
          357 S L1 OR L2
                                                                         TI CHIMERASOME-MEDIATED GENE TRANSFER IN-VITRO
         6610 S AAV OR ADENO ASSOCIATED
  14
  L5
          1 S L3 AND L4
                                                                         AND IN-VIVO.
                                                                         SO GENE (AMST), (1989) 84 (2), 429-438.
                                                                           CODEN: GENED6. ISSN: 0378-1119.
  => rep!
  REP! IS NOT A RECOGNIZED COMMAND
  The previous command name entered was not recognized by the system.
                                                                        L14 ANSWER 4 OF 4 CAPLUS COPYRIGHT 2002 ACS
 For a list of commands available to you in the current file, enter
                                                                        TI Diastereoselectivity in radical pair recombination in lipid
  "HELP COMMANDS" at an arrow prompt (=>).
                                                                        SO J. Am. Chem. Soc. (1984), 106(24), 7652-3
                                                                          CODEN: JACSAT; ISSN: 0002-7863
 REP IS NOT A RECOGNIZED COMMAND
 The previous command name entered was not recognized by the system.
 For a list of commands available to you in the current file, enter
                                                                        => d ibib ab 2,3
 "HELP COMMANDS" at an arrow prompt (=>).
                                                                        L14 ANSWER 2 OF 4 CAPLUS COPYRIGHT 2002 ACS
                                                                        ACCESSION NUMBER:
 => s rep!
                                                                                               1995:698980 CAPLUS
                                                                        DOCUMENT NUMBER:
 L6
       5096 REP!
                                                                                                  123:93303
                                                                        TITLE:
                                                                                        Liposome preparation and material encapsulation
                                                                       method
 => ]s 16 and 13
JS IS NOT A RECOGNIZED COMMAND
                                                                        INVENTOR(S):
                                                                                            Collins, David Sammuel
The previous command name entered was not recognized by the system.
                                                                       PATENT ASSIGNEE(S): Amgen Inc., USA
For a list of commands available to you in the current file, enter
                                                                       SOURCE:
                                                                                         PCT Int. Appl., 34 pp.
"HELP COMMANDS" at an arrow prompt (=>).
                                                                                    CODEN: PIXXD2
                                                                       DOCUMENT TYPE:
                                                                       LANGUAGE:
=> s 16 and 13
                                                                       FAMILY ACC. NUM. COUNT: 1
L7
        0 L6 AND L3
                                                                       PATENT INFORMATION:
=> s rep
                                                                         PATENT NO. KIND DATE
L8
      8791 REP
                                                                                                          APPLICATION NO. DATE
                                                                         WO 9512387 A1 19950511 WO 1994-US12350 19941103
=> s 18 and 13
                                                                           W: AU, CA, JP
       1 L8 AND L3
                                                                           RW: AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL,
=> d ti so
                                                                      PT. SF
                                                                         CA 2153251
                                                                                        AA 19950511
                                                                                                        CA 1994-2153251 19941103
                                                                         CA 2153251
                                                                                       C 19980901
```

```
AU 9481273
                       AI 19950523
                                        AU 1994-81273 19941103
                                                                                   populations of cells in culture. Material can be delivered gradually by
        AU 683957
                      B2 19971127
                                                                                   Sendai-virus-glycoprotein-containing proteoliposomes. Alternatively,
                      Al 19951025
        EP 678017
                                       EP 1995-900452 19941103
                                                                                   synchronous delivery to a population can be achieved by exposing
        EP 678017
                      B1 19980401
                                                                                  cell-bound influenza glycoprotein vesicles briefly to low pH buffer.
         R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LI, LU, MC,
                                                                                When
    NL, PT, SE
                                                                                  DNA is encapsulated, chimeric proteoliposome gene-transfer vesicles
       JP 08505882
                      T2 19960625
                                       JP 1994-513303 19941103
                                                                                  (chimerasomes), which mediate high-efficiency gene transfer in vitro
       AT 164515
                      E 19980415
                                       AT 1995-900452 19941103
                                                                               and
       ES 2115343
                      T3 19980616
                                       ES 1995-900452 19941103
                                                                                  in vivo, are produced. Stable expression of bovine papilloma virus-
       US 5567433
                      Α
                          19961022
                                       US 1995-381613 19950130
                                                                               based
       US 6355267
                      B1 20020312
                                       US 1997-868019 19970603
                                                                                  plasmid in tissue-culture cells, at 100,000 times greater efficiency
    PRIORITY APPLN. INFO.:
                                       US 1993-148099 A 19931105
                          WO 1994-US12350 W 19941103
                                                                                  Ca.cntdot.phosphate precipitation of DNA, with respect to the
                          US 1995-394056 B1 19950224
   AB The present invention relates to a method of producing liposomes
                                                                               quantity of
                                                                                 DNA used, has been achieved. Stable gene transfer and expression in
   useful
      for encapsulating and delivering a wide variety of biol. active
                                                                                 has been obtained by subcutaneous injection of chimerasomes
                                                                               containing a
      The invention provides liposomes and a prodn. method which is
                                                                                 plasmid expressing the early region of polyoma virus. In one
   simple.
                                                                               experimental
      feasible and inexpensive for the large-scale com. manufg. of
                                                                                 group, 50% of the mice developed tumors which were shown to
   liposomes and
                                                                               express
      encapsulated materials. The method involves the formation of a
                                                                                 polyoma virus early proteins and contain the transferred DNA. This
                                                                              is the
     dispersion in the absence of an org. solvent or detergent, one or
                                                                                 first report of stable gene transfer in animals mediated by aa
   several
     cycles of freezing and thawing the liposomes, and dehydration of the
                                                                                 or proteoliposome-based system.
     liposome dispersion to form a lipid powder. When desired, the lipid
     powder is hydrated in the presence of the biol. active material
  whereby
                                                                              => d his
     the material is encapsulated in reconstituted liposomes. The method
  can
                                                                                 (FILE 'HOME' ENTERED AT 11:48:35 ON 21 OCT 2002)
     also include combining the liposome dispersion with a bulking agent
                                                                                FILE 'MEDLINE, BIOSIS, CAPLUS' ENTERED AT 11:48:46 ON
     to the dehydration and formation of the lipid powder. The addn. of
                                                                              21 OCT 2002
  the
                                                                             1.1
                                                                                      127 S COCHLEATE
     bulking agent facilitates the handling of the lipid powder as well as
                                                                                     230 S MULTILAMELLAR LIPID
                                                                             L2
  its
                                                                             1.3
                                                                                     357 S L1 OR L2
     rapid dispersal upon hydration. Chloroform solns. of
     dimyristoylphosphatidylcholine, distearoylphosphatidylcholine, and
                                                                             L4
                                                                                     6610 S AAV OR ADENO ASSOCIATED
    cholesterol were combined in a ratio of 1:4:5, resp., and the mixt. was
                                                                             1.5
                                                                                      1 S L3 AND L4
    dried and desiccated to form a lipid film. The lipid was then hydrated
                                                                             L6
                                                                                     5096 S REP!
                                                                             L7
                                                                                      0 S L6 AND L3
  in
                                                                             L8
                                                                                    8791 S REP
    presence of recombinant human granulocyte colony stimulating
                                                                             L9
    factor at 60 degree, and the sample was microfluidized to obtain
                                                                                      1 S L8 AND L3
                                                                             L10
                                                                                     6979 S RECOMBINASE
                                                                             LH
                                                                                       0 S L3 AND L10
 L14 ANSWER 3 OF 4 BIOSIS COPYRIGHT 2002 BIOLOGICAL
                                                                             LI2
                                                                                    694865 S RECOMBIN?
                                                                             L13
 ABSTRACTS INC.
                                                                                      4 S L3 AND L12
 ACCESSION NUMBER: 1990:155203 BIOSIS
                                                                                      4 DUP REM L13 (0 DUPLICATES REMOVED)
                                                                             L14
 DOCUMENT NUMBER: BA89:82621
 TITLE:
                                                                             => s p47 phox
               CHIMERASOME-MEDIATED GENE TRANSFER
                                                                            L15
 IN-VITRO AND IN-VIVO.
                                                                                    910 P47 PHOX
 AUTHOR(S):
                   GOULD-FOGERITE S; MAZURKIEWICZ J E;
 RASKA K JR; VOELKERDING
                                                                            => s gene therapy
                                                                            L16
                                                                                   65236 GENE THERAPY
            K; LEHMAN J M; MANNINO R J
CORPORATE SOURCE: DEP. MICROBIOL. IMMUNOL., A-68,
                                                                            => s 115 and 116
 NEIL HELLMAN MED. RES.
                                                                            L17
            BUILD., ALBANY MED. COLL., 47 NEW SCOTLAND
                                                                                    19 L15 AND L16
AVE., ALBANY,
                                                                            => s 117 and review
           N.Y. 12208, USA.
SOURCE:
                                                                            L18
                                                                                    1 L17 AND REVIEW
                GENE (AMST), (1989) 84 (2), 429-438.
           CODEN: GENED6. ISSN: 0378-1119.
FILE SEGMENT:
                    BA; OLD
LANGUAGE:
                   English
                                                                            L18 ANSWER 1 OF 1 CAPLUS COPYRIGHT 2002 ACS
AB Proteoliposome delivery vesicles can be prepared by the protein-
                                                                            TI Molecular pathology and gene therapy of chronic
  cochleate method [Gould-Fogerite and Mannino, Anal. Biochem.
                                                                              granulomatous disease
  (1985) 15-25; Mannino and Gould-Fogerite, Biotechniques 6 (1988)
                                                                           SO Saishin Igaku (1995), 50(Suppl. 601), 1785-94
                                                                              CODEN: SAIGAK; ISSN: 0370-8241
682-690].
  Proteins which mediate the entry of enveloped viruses into cells are
  integrated in the lipid bilayer, and materials are encapsulated at high
  efficiency within the aqueous interior of these vesicles. We describe
                                                                           => dup rem 117
                                                                           PROCESSING COMPLETED FOR L17
  proteoliposome-mediated delivery of proteins and drugs into entire
                                                                                    16 DUP REM L17 (3 DUPLICATES REMOVED)
```

L19 ANSWER | OF 16 MEDLINE

T1 Statistical evaluation of chronic granulomatous disease in Japan and

studies for gene therapy for CGD patients.

SO RINSHO BYORI. JAPANESE JOURNAL OF CLINICAL PATHOLOGY, (1999 Jul) 47 (7)

Journal code: 2984781R. ISSN: 0047-1860.

#### L19 ANSWER 2 OF 16 MEDLINE

TI Gene therapy for inherited diseases using heamatopoietic stem cells--gene therapy for patients with chronic granulomatous disease.

SO HUMAN CELL, (1999 Sep) 12 (3) 103-8. Ref: 18 Journal code: 8912329. ISSN: 0914-7470.

#### L19 ANSWER 3 OF 16 BIOSIS COPYRIGHT 2002 BIOLOGICAL ABSTRACTS INC.

T1 Drug-selected complete restoration of superoxide generation in Epstein-Barr virus-transformed B cells from p47phox-deficient chronic

granulomatous disease patients by using a bicistronic retrovirus

encoding a human multi-drug resistance gene (MDR1) and the p47phox gene.

SO Human Genetics, (Oct., 1998) Vol. 103, No. 4, pp. 419-423. ISSN: 0340-6717.

#### L19 ANSWER 4 OF 16 BIOSIS COPYRIGHT 2002 BIOLOGICAL ABSTRACTS INC.

TI The molecular basis of chronic granulomatous disease.

SO Springer Seminars in Immunopathology, (1998) Vol. 19, No. 4, pp.

ISSN: 0172-6641.

#### L19 ANSWER 5 OF 16 MEDLINE

T1 Prolonged production of NADPH oxidase-corrected granulocytes DUPLICATE 1

gene therapy of chronic granulomatous disease. SO PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF THE UNITED STATES OF AMERICA, (1997 Oct 28) 94 (22) 12133-8. Journal code: 7505876. ISSN: 0027-8424.

## L19 ANSWER 6 OF 16 BIOSIS COPYRIGHT 2002 BIOLOGICAL ABSTRACTS INC.

TI Enhanced host defense after gene transfer in the murine p47phox-deficient model of chronic granulomatous disease. SO Blood, (1997) Vol. 89, No. 7, pp. 2268-2275.

ISSN: 0006-4971.

### L19 ANSWER 7 OF 16 BIOSIS COPYRIGHT 2002 BIOLOGICAL ABSTRACTS INC.

TI Enhanced host defense after gene transfer in the murine p47phox-deficient model of chronic granulomatous disease.

SO Blood, (1996) Vol. 88, No. 10 SUPPL. 1 PART 1-2, pp. 487A. Meeting Info.: Thirty-eighth Annual Meeting of the American Society of

Hematology Orlando, Florida, USA December 6-10, 1996 ISSN: 0006-4971.

# L19 ANSWER 8 OF 16 CAPLUS COPYRIGHT 2002 ACS

T1 Molecular pathology and gene therapy of chronic granulomatous disease

SO Saishin Igaku (1995), 50(Suppl. 601), 1785-94 CODEN: SAIGAK; ISSN: 0370-8241

#### L19 ANSWER 9 OF 16 BIOSIS COPYRIGHT 2002 BIOLOGICAL ABSTRACTS INC.

TI Functional reconstitution of the NADPH-oxidase by adenoassociated virus

gene transfer.

SO Blood, (1995) Vol. 86, No. 2, pp. 761-765. ISSN: 0006-4971.

## L19 ANSWER 10 OF 16 BIOSIS COPYRIGHT 2002 BIOLOGICAL ABSTRACTS INC.

TI Generation of recombinant adeno-associated virus (rAAV) from an adenovira!

vector and functional reconstitution of the NADPH-oxidase.

SO Gene Therapy, (1995) Vol. 2, No. 7, pp. 481-485. ISSN: 0969-7128.

#### L19 ANSWER 11 OF 16 BIOSIS COPYRIGHT 2002 BIOLOGICAL ABSTRACTS INC.

TI Mouse model of chronic granulomatous disease (CGD): The p47phox knock-out.

SO Journal of Investigative Medicine, (1995) Vol. 43, No. SUPPL. 2, pp. 287A.

Meeting Info.: Clinical Research Meeting San Diego, California, USA May 5-8, 1995

#### L19 ANSWER 12 OF 16 BIOSIS COPYRIGHT 2002 BIOLOGICAL ABSTRACTS INC.

TI Peripheral blood progenitors as a target for genetic correction of p47-pho-deficient chronic granulomatous disease.

SO Proceedings of the National Academy of Sciences of the United

America, (1993) Vol. 90, No. 16, pp. 7446-7450. ISSN: 0027-8424

#### L19 ANSWER 13 OF 16 BIOSIS COPYRIGHT 2002 BIOLOGICAL ABSTRACTS INC.

TI Restitution of superoxide generation in autosomal cytochromenegative

chronic granulomatous disease (A22-0 CGD)-derived B lymphocyte cell lines

by transfection with p22-phox cDNA.

SO Journal of Experimental Medicine, (1993) Vol. 178, No. 6, pp. 2047-2053.

ISSN: 0022-1007.

## L19 ANSWER 14 OF 16 MEDLINE

Tl Chronic granulomatous disease: towards gene therapy

SO IMMUNODEFICIENCY, (1993) 4 (1-4) 327-33. Journal code: 9418574. ISSN: 1067-795X

### L19 ANSWER 15 OF 16 BIOSIS COPYRIGHT 2002 BIOLOGICAL ABSTRACTS INC.

TI Retrovirus mediated functional correction of superoxide production by EBV

transformed B cells from patients with P47-PHOX

deficient chronic granulomatous disease.

SO Journal of Allergy and Clinical Immunology, (1993) Vol. 91, No. 1 pp. 322

Meeting Info.: Forty-ninth Annual Meeting of the American

Allergy and Immunology Chicago, Illinois, USA March 12-17, 1993 ISSN: 0091-6749.

## L19 ANSWER 16 OF 16 MEDLINE

**DUPLICATE 3** 

T1 Restoration of superoxide generation to a chronic granulomatous disease-derived B-cell line by retrovirus mediated gene transfer. SO BLOOD, (1992 Sep 1) 80 (5) 1125-9.

Journal code: 7603509. ISSN: 0006-4971.

=> d ibib ab 1-3,5

L19 ANSWER 1 OF 16 MEDLINE

ACCESSION NUMBER: 1999370633 MEDLINE

DOCUMENT NUMBER: 99370633 PubMed ID: 10442045

Statistical evaluation of chronic granulomatous disease

Japan and basic studies for gene therapy for CGD patients.

AUTHOR: Nunoi H; Ishibashi F

CORPORATE SOURCE: Department of Pediatrics, Kumamoto University School of

Medicine.

SOURCE: RINSHO BYORI. JAPANESE JOURNAL OF CLINICAL PATHOLOGY, (1999

Jul) 47 (7) 658-64.

Journal code: 2984781R. ISSN: 0047-1860.

PUB. COUNTRY: Japan

DOCUMENT TYPE: Journal; Article; (JOURNAL ARTICLE) Japanese

LANGUAGE: FILE SEGMENT:

Priority Journals

ENTRY MONTH:

199909 ENTRY DATE: Entered STN: 19990921

Last Updated on STN: 19990921 Entered Medline: 19990903

AB Chronic granulomatous disease (CGD) is an inherited immune deficiency

caused by mutations in any of the following four phox genes encoding

subunits of the superoxide generating phagocyte NADPH oxidase. It consists

of membranous cytochrome b558 composed of gp91-phox and p22phox, and four

cytosolic components, p47-phox, p67-phox, rac p21 and

p40-phox, which translocate to the membrane upon activation. In our group

study, more than 220 CGD patients has been enrolled. The incidence of CGD

patients was estimated as 1 out of 250,000 births. The expected life span

of the CGD patients is 25 to 30 years old by the Kaplan Meier analysis

Comparing with the ratio of CGD subtype in US and Europe, that with

p47-phox deficiency is lower (less than 10% vs. 23%) and that of gp91-phox deficiency is higher (more than 75% vs. 60%). Prophylactic administration of ST antibiotics and IFN-gamma and bone

marrow transplantation have been successfully employed in our therapeutic

strategy. However, it is necessary to develop the gene

therapy technology for CGD patients as more promising treatment. In the current study we constructed two retrovirus vectors; MFGSgp91/293

SPA which contains only the therapeutic gp91-phox gene, a bicistronic

retrovims pHa-MDR-IRES-gp91/PA317 which carries a multi drug resistant

gene (MDR1) and the gp91-phox gene connected with an internal ribosome

entry site (IRES). We demonstrate high efficiency transduction of gp91-phox to CGD EB virus established cell line with high levels of functional correction of the oxidase by MFGS-gp91 and by pHa-MDR-IRES-gp91, respectively. We also demonstrate sufficient transduction of gp91-phox to CD34+ haematopoietic stem cell from the

patients with gp91-phox deficiency by MFGS-gp91/293 SPA. Our current

studies suggest that the combination of the 293-SPA packaging system and

the bicistronic retrovirus system inserted MDRI gene make our CGD gene therapy more feasible for clinical application.

L19 ANSWER 2 OF 16 MEDLINE

ACCESSION NUMBER: 2000159339 MEDLINE

DOCUMENT NUMBER: 20159339 PubMed ID: 10695016

Gene therapy for inherited diseases using heamatopoietic stem cells--gene therapy for patients with chronic granulomatous disease.

AUTHOR: Nunoi H; Ishibashi F

CORPORATE SOURCE: Department of Pediatrics, Kumamoto University Medical

School, Japan.

SOURCE: HUMAN CELL, (1999 Sep) 12 (3) 103-8. Ref: 18

Journal code: 8912329. ISSN: 0914-7470.

PUB. COUNTRY: Japan

DOCUMENT TYPE: Journal; Article; (JOURNAL ARTICLE) General Review; (REVIEW)

(REVIEW, TUTORIAL)

LANGUAGE: Japanese FILE SEGMENT:

Priority Journals ENTRY MONTH: 200004

ENTRY DATE: Entered STN: 20000505 Last Updated on STN: 20000505

Entered Medline: 20000426 AB The possibility of gene therapy for inherited diseases with a single gene mutation in Figure 1 had been verified by the successful treatment with bone marrow transplantation. As the gene therapy method and theory has been progressing rapidly, it is expected that gene therapy will overcome the

complications of bone marrow transplantation. Of these inherited

chronic granulomatous disease (CGD) is the one of the most expected disease for gene therapy. CGD is an inherited immune deficiency caused by mutations in any of the following four phox

genes encoding subunits of the superoxide generating phagocyte NADPH oxidase. It

consists of membranous cytochrom b558 composed of gp91 phox and p22 phox,

and four cytosolic components, p47 phox, p67 phox, rac p21 and p40 phox, which translocate to the membrane upon activation. In

our group study, more than 220 CGD patients has been enrolled. The incidence of CGD patients was estimated as 1 out of 250,000 births.

expected life span of the CGD patients is 25 to 30 years old by the Kaplan

Meier analysis. Comparing with the ratio of CGD subtype in US and Europe,

that with p47phox deficiency is lower (less than 10%/o vs. 23%) and that

of gp91 phox deficiency is higher (more than 75% vs. 60%). Prophylactic

administration of ST antibiotics and IFN-gamma and bone marrow transplantation have been successfully employed in our therapeutic strategy. However, it is necessary to develop the gene therapy technology for CGD patients as more promising treatment.

In the current study we constructed two retrovirus vectors; MFGS-

SPA which contains only the therapeutic gp91 phox gene, a bicistronic

retrovirus pHa-MDR-IRES-gp91/PA317 which carries a multi drug resistant

gene (MDR1) and the gp91phox gene connected with an internal ribosome

entry site (IRES). We demonstrate high efficiency transduction of gp 91

phox to CGD EB virus established cell line with high levels of functional

correction of the oxidase by MFGS-gp91 and by pHa-MDR-IRESgp91,

respectively. We also demonstrate sufficient transduction of gp91 phox to

CD34+ hematopoietic stem cell from the patients with gp91 phox deficiency

by MFGS-gp91/293 SPA. Our current studies suggest that the combination of

the 293-SPA packaging system and the bicistronic retrovirus system inserted MDR1 gene make our CGD gene therapy more feasible for clinical application.

L19 ANSWER 3 OF 16 BIOSIS COPYRIGHT 2002 BIOLOGICAL

Journal code: 7505876. ISSN: 0027-8424. Drug-selected complete restoration of superoxide PUB. COUNTRY: generation United States DOCUMENT TYPE: in Epstein-Barr virus-transformed B cells from (CLINICAL TRIAL) Journal; Article; (JOURNAL ARTICLE) p47phox-deficient chronic granulomatous disease patients LANGUAGE: by English FILE SEGMENT: using a bicistronic retrovirus vector encoding a human Priority Journals ENTRY MONTH: multi-drug resistance gene (MDR1) and the p47phox gene. 199712 AUTHOR(S): ENTRY DATE: Iwata, Mayumi, Nunoi, Hiroyuki, Matsuda, Ichiro, Entered STN: 19980109 Kanegasaki, Shiro; Tsuruo, Takashi; Sugimoto, Yoshikazu Last Updated on STN: 19980109 Entered Medline: 19971204 AB Little is known about the potential for engraftment of autologous CORPORATE SOURCE: (1) Cancer Chemotherapy Cent., Jpn. Found. hematopoietic stem cells in human adults not subjected to Cancer Res., myeloablative 1-37-1 Kami-ikebukuro, Toshima-ku, Tokyo 170 Japan conditioning regimens. Five adult patients with the p47( SOURCE: Human Genetics, (Oct., 1998) Vol. 103, No. 4, pp. phox) deficiency form of chronic granulomatous disease received 419-423. intravenous infusions of autologous CD34(+) peripheral blood stem ISSN: 0340-6717. DOCUMENT TYPE: Article cells (PBSCs) that had been transduced ex vivo with a recombinant LANGUAGE: English AB Chronic granulomatous disease (CGD) is a group of disorders retrovirus encoding normal p47(phox). Although marrow characterized conditioning was not given, functionally corrected granulocytes were by the failure of phagocytes to produce superoxide. One-third of the detectable in peripheral blood of all five patients. Peak correction of CGD in the USA and Europe results from defects in the gene occurred 3-6 weeks after infusion and ranged from 0.004 to 0.05% of encoding peripheral blood granulocytes. Corrected cells were detectable for as p47phox, a cytoplasmic component of NADPH oxidase for long superoxide as 6 months after infusion in some individuals. Thus, prolonged generation. In this study, we constructed the bicistronic retrovirus engraftment of autologous PBSCs and continued expression of the vector Ha-MDR-IRES-p47, which carries cDNAs for a human multi-drug-resistance gene (MDR1) and p47phox. The amphotropic transduced gene can occur in adults without conditioning. This trial also piloted retroviral the producer cells were generated, and the supernatant of the producer use of animal protein-free medium and a blood-bank-compatible cells was used to transduce Epstein-Barr virus-transformed B (EBV-B) closed system of gas-permeable plastic containers for culture and cells, established from B cells of p47phox-deficient CGD patients, as an in transduction of the PBSCs. These features enhance the safety of PBSCs directed vitro model of gene therapy for p47phox-deficient CGD. The gene therapy. transduced cells expressed both P-glycoprotein and p47phox protein, => d his the expression levels were increased after appropriate vincristine selection. The levels of superoxide production in the vincristine-(FILE 'HOME' ENTERED AT 11:48:35 ON 21 OCT 2002) selected cells were increased to a level similar to normal EBV-B cells. This FILE 'MEDLINE, BIOSIS, CAPLUS' ENTERED AT 11:48:46 ON 21 OCT 2002 suggests that it is possible to achieve 100% correction of the CGD L1 127 S COCHLEATE defect in p47phox-deficient EBV-B cells by using the bicistronic vector. L2 230 S MULTILAMELLAR LIPID 1.3 357 S L1 OR L2 This strategy could be employed not only in vitro, but also in vivo, in the 1.4 6610 S AAV OR ADENO ASSOCIATED L5 1 S L3 AND L4 gene therapy of a number of inherited diseases. 5096 S REP! L19 ANSWER 5 OF 16 MEDLINE L7 0 S L6 AND L3 DUPLICATE I ACCESSION NUMBER: 1998004536 MEDLINE 1.8 8791 S REP DOCUMENT NUMBER: 98004536 PubMed ID: 9342375 1.9 1 S L8 AND L3 L10 6979 S RECOMBINASE TITLE: Prolonged production of NADPH oxidase-corrected granulocytes after gene therapy of LH 0 S L3 AND L10 L12 chronic granulomatous disease. 694865 S RECOMBIN? AUTHOR: 4 S L3 AND L12 L13 Malech H L; Maples P B; Whiting-Theobald N; 4 DUP REM L13 (0 DUPLICATES REMOVED) Linton G F; 1.14 Sekhsaria S; Vowells S J; Li F; Miller J A; DeCarlo E; L15 910 S P47 PHOX L16 65236 S GENE THERAPY Holland S M; Leitman S F; Carter C S; Butz R E; Read E J; L17 Fleisher T A; Schneiderman R D; Van Epps D E; Spratt S 19 S L15 AND L16 K: L18 **ISLI7 AND REVIEW** L19 16 DUP REM L17 (3 DUPLICATES REMOVED) Maack C A; Rokovich J A; Cohen L K; Gallin J I CORPORATE SOURCE: Laboratory of Host Defenses, National Institute of Allergy => log hold COST IN U.S. DOLLARS and Infectious Diseases, National Institutes of Health, 10 SINCE FILE TOTAL Center Drive, MSC 1886, Bethesda, MD 20892, USA... ENTRY SESSION FULL ESTIMATED COST hmalech@nih.gov 79.57 79.78 SOURCE: PROCEEDINGS OF THE NATIONAL ACADEMY DISCOUNT AMOUNTS (FOR QUALIFYING ACCOUNTS) OF SCIENCES OF THE SINCE FILE TOTAL

12133-8.

UNITED STATES OF AMERICA, (1997 Oct 28) 94 (22)

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NEWS 27 Oct 01 CASREACT Enriched with Reactions from 1907 to

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2605 TRANSFECT

=> s transfect?

L2 225394 TRANSFECT?

=> s rep 68 or rep68 or rep 78 or rep78

466 REP 68 OR REP68 OR REP 78 OR REP78

=> s integrase

L4 6349 INTEGRASE

=> s 13 and 12

L5 70 L3 AND L2

=> dup rem 15

PROCESSING COMPLETED FOR L5

42 DUP REM L5 (28 DUPLICATES REMOVED)

=> d ti so 1-42

L6 ANSWER 1 OF 42 MEDLINE

TI Studies of the mechanism of transactivation of the adeno-associated

p19 promoter by Rep protein.

- SO JOURNAL OF VIROLOGY, (2002 Aug) 76 (16) 8225-35. Journal code: 0113724. ISSN: 0022-538X.
- L6 ANSWER 2 OF 42 MEDLINE

DUPLICATE 1

T1 Herpes simplex virus type 1/adeno-associated virus hybrid vectors

site-specific integration at the adeno-associated virus preintegration site, AAVSI, on human chromosome 19.

SO JOURNAL OF VIROLOGY, (2002 Jul) 76 (14) 7163-73. Journal code: 0113724. ISSN: 0022-538X.

- L6 ANSWER 3 OF 42 BIOSIS COPYRIGHT 2002 BIOLOGICAL ABSTRACTS INC.
- TI Hyper-phosphorylation of the adeno-associated virus Rep78 protein inhibits terminal repeat binding and helicase activity.

SO Biochimica et Biophysica Acta, (19 July, 2002) Vol. 1576, No. 3,

298-305. http://www.elsevier.com/locate/bba. print. ISSN: 0006-3002.

- L6 ANSWER 4 OF 42 CAPLUS COPYRIGHT 2002 ACS
- TI Site-specific transgene integration mediated by a hybrid adenovirus/adeno-associated virus vector using the Cre/loxP-

switching system

SO PCT Int. Appl., 38 pp. CODEN: PIXXD2

- L6 ANSWER 5 OF 42 CAPLUS COPYRIGHT 2002 ACS
- Tl Recombinant adenovirus expressing adeno-associated virus cap and

proteins supports production of high-titer recombinant adenoassociated

SO Gene Therapy (2001), 8(9), 704-712 CODEN: GETHEC; ISSN: 0969-7128

- L6 ANSWER 6 OF 42 MEDLINE
- TI Site-specific integration of an adeno-associated virus vector plasmid mediated by regulated expression of rep based on Cre-loxP
- SO JOURNAL OF VIROLOGY, (2000 Nov) 74 (22) 10631-8. Journal code: 0113724. ISSN: 0022-538X.
- L6 ANSWER 7 OF 42 MEDLINE

**DUPLICATE 2** 

TI A chimeric protein containing the N terminus of the adenoassociated virus

Rep protein recognizes its target site in an in vivo assay SO JOURNAL OF VIROLOGY, (2000 Mar) 74 (5) 2372-82. Journal code: 0113724. ISSN: 0022-538X.

- L6 ANSWER 8 OF 42 CAPLUS COPYRIGHT 2002 ACS
- TI Hormone-dependent forms of the adeno-associated virus Rep proteins and DNA

sequences and vectors coding for them and their use to regulate intracellular activity

SO PCT Int. Appl., 65 pp. CODEN: PIXXD2

- L6 ANSWER 9 OF 42 MEDLINE
- TI Adeno-associated virus type 2 protein interactions: formation of pre-encapsidation complexes.

SO JOURNAL OF VIROLOGY, (1999 Nov) 73 (11) 8989-98. Journal code: 0113724. ISSN: 0022-538X.

- L6 ANSWER 10 OF 42 MEDLINE
- TI Enhancement of UV-induced cytotoxicity by the adeno-associated

replication proteins.

SO BIOCHIMICA ET BIOPHYSICA ACTA, (1999 Mar 19) 1444 (3)

Journal code: 0217513. ISSN: 0006-3002.

L6 ANSWER II OF 42 CAPLUS COPYRIGHT 2002 ACS

TI A conditional replication and expression system and its use for packaging

of adeno-associated virus vectors

SO PCT Int. Appl., 92 pp. CODEN: PIXXD2

- L6 ANSWER 12 OF 42 CAPLUS COPYRIGHT 2002 ACS
- TI Preparation of adeno-associated virus-derived vector for introducing genes

into animal cells using cre/loxP mechanism and its use in gene therapy

SO Jpn. Kokai Tokkyo Koho, 8 pp. CODEN: JKXXAF

- L6 ANSWER 13 OF 42 CAPLUS COPYRIGHT 2002 ACS
- TI A conditional replication and expression system

SO Eur. Pat. Appl., 33 pp. CODEN: EPXXDW

L6 ANSWER 14 OF 42 MEDLINE

**DUPLICATE 3** TI Adeno-associated virus Rep78 protein interacts with protein kinase A and its homolog PRKX and inhibits CREB-dependent transcriptional activation

SO JOURNAL OF VIROLOGY, (1998 Oct) 72 (10) 7916-25. Journal code: 0113724. ISSN: 0022-538X.

L6 ANSWER 15 OF 42 MEDLINE

TI Rescue and autonomous replication of adeno-associated virus type 2

containing Rep-binding site mutations in the viral p5 promoter. SO JOURNAL OF VIROLOGY, (1998 Jun) 72 (6) 4811-8.

- Journal code: 0113724. ISSN: 0022-538X.
- L6 ANSWER 16 OF 42 MEDLINE Tl Novel tools for production and purification of recombinant adenoassociated virus vectors
- SO HUMAN GENE THERAPY, (1998 Dec 10) 9 (18) 2745-60. Journal code: 9008950. ISSN: 1043-0342.
- L6 ANSWER 17 OF 42 CAPLUS COPYRIGHT 2002 ACS
- TI Regulated gene expression in stably transfected mammalian cells using adeno-associated vectors containing inducible repressor and
- SO PCT Int. Appl., 35 pp. CODEN: PIXXD2
- L6 ANSWER 18 OF 42 MEDLINE
- TI Adeno-associated virus Rep proteins target DNA sequences to a unique locus

in the human genome.

- SO JOURNAL OF VIROLOGY, (1997 Oct) 71 (10) 7951-9. Journal code: 0113724. ISSN: 0022-538X
- L6 ANSWER 19 OF 42 CAPLUS COPYRIGHT 2002 ACS
- Tl High mobility group chromosomal protein 1 binds to the adenoassociated

virus replication protein (Rep) and promotes Rep-mediated sitespecific

cleavage of DNA, ATPase activity and transcriptional repression SO EMBO Journal (1997), 16(19), 5943-5954 CODEN: EMJODG; ISSN: 0261-4189

L6 ANSWER 20 OF 42 MEDLINE

DUPLICATE 6

- TI Adeno-associated virus Rep78 protein and terminal repeats enhance integration of DNA sequences into the cellular genome. SO JOURNAL OF VIROLOGY, (1997 Apr) 71 (4) 3299-306.
- Journal code: 0113724. ISSN: 0022-538X.
- L6 ANSWER 21 OF 42 MEDLINE
- T1 The Rep68 protein of adeno-associated virus type 2 increases RNA levels from the human cytomegalovirus major immediate early promoter.

- SO VIROLOGY, (1997 Sep 15) 236 (1) 167-76. Journal code: 0110674. ISSN: 0042-6822.
- L6 ANSWER 22 OF 42 MEDLINE
- T1 The adeno-associated virus Rep78 major regulatory/transformation suppressor protein binds cellular Sp1 in vitro and evidence of a biological effect.
- SO CANCER RESEARCH, (1996 Nov 15) 56 (22) 5299-304. Journal code: 2984705R. ISSN: 0008-5472.
- L6 ANSWER 23 OF 42 MEDLINE
- TI Identification of mutant adeno-associated virus Rep proteins which

dominant-negative for DNA helicase activity.

SO BIOCHEMICAL AND BIOPHYSICAL RESEARCH COMMUNICATIONS, (1996 Mar 18) 220 (2)

294-9.

Journal code: 0372516. ISSN: 0006-291X.

- L6 ANSWER 24 OF 42 MEDLINE
- T1 Role of the terminal repeat GAGC trimer, the major Rep78 binding site, in adeno-associated virus DNA replication.
- SO FEBS LETTERS, (1996 Nov 11) 397 (1) 97-100. Journal code: 0155157. ISSN: 0014-5793
- L6 ANSWER 25 OF 42 CAPLUS COPYRIGHT 2002 ACS
- TI Stable cell lines capable of expressing the adeno-associated virus replication gene
- SO PCT Int. Appl., 36 pp. CODEN: PIXXD2
- L6 ANSWER 26 OF 42 MEDLINE

- **DUPLICATE 7** TI High-level expression of adeno-associated virus (AAV) Rep78 or Rep68 protein is sufficient for infectious-particle formation by a rep-negative AAV mutant.
- SO JOURNAL OF VIROLOGY, (1995 Nov) 69 (11) 6880-5. Journal code: 0113724. ISSN: 0022-538X.
- L6 ANSWER 27 OF 42 MEDLINE
- TI Negative regulation of the adeno-associated virus (AAV) P5 promoter
- involves both the P5 rep binding site and the consensus ATP-binding motif
- of the AAV Rep68 protein.
- SO JOURNAL OF VIROLOGY, (1995 Nov) 69 (11) 6787-96. Journal code: 0113724. ISSN: 0022-538X.
- L6 ANSWER 28 OF 42 MEDLINE

- TI Mutational analysis of adeno-associated virus Rep protein-mediated inhibition of heterologous and homologous promoters.
- SO JOURNAL OF VIROLOGY, (1995 Sep) 69 (9) 5485-96. Journal code: 0113724. ISSN: 0022-538X.
- L6 ANSWER 29 OF 42 CAPLUS COPYRIGHT 2002 ACS
- TI Sequence elements of the adeno-associated virus rep gene required
- suppression of herpes-simplex-virus-induced DNA amplification SO Virology (1995), 206(1), 254-62 CODEN: VIRLAX; ISSN: 0042-6822
- L6 ANSWER 30 OF 42 MEDLINE

**DUPLICATE 9** 

- TI Cell lines inducibly expressing the adeno-associated virus (AAV)
- requirements for productive replication of rep-negative AAV mutants.
- SO JOURNAL OF VIROLOGY, (1994 Nov) 68 (11) 7169-77. Journal code: 0113724. ISSN: 0022-538X.
- L6 ANSWER 31 OF 42 MEDLINE
- TI Analysis of adeno-associated virus (AAV) wild-type and mutant Rep proteins
- for their abilities to negatively regulate AAV p5 and p19 mRNA levels
- SO JOURNAL OF VIROLOGY, (1994 May) 68 (5) 2947-57.

Journal code: 0113724. ISSN: 0022-538X.

- L6 ANSWER 32 OF 42 MEDLINE
- Tl Adeno-associated virus inhibits human papillomavirus type 16: a
  - interaction implicated in cervical cancer.
- SO CANCER RESEARCH, (1994 Apr 15) 54 (8) 2278-81. Journal code: 2984705R. ISSN: 0008-5472.
- L6 ANSWER 33 OF 42 MEDLINE
- TI Cloning, expression, and partial purification of Rep78: an adeno-associated virus replication protein.
- SO VIROLOGY, (1994 May 1) 200 (2) 566-73. Journal code: 0110674. ISSN: 0042-6822.
- L6 ANSWER 34 OF 42 MEDLINE
- TI Identification of a DNA-binding domain in the amino terminus of adeno-associated virus Rep proteins.
- SO JOURNAL OF VIROLOGY, (1993 Feb) 67 (2) 997-1005. Journal code: 0113724. ISSN: 0022-538X.
- L6 ANSWER 35 OF 42 MEDLINE

- TI In vitro resolution of adeno-associated virus DNA hairpin termini by **DUPLICATE 10** wild-type Rep protein is inhibited by a dominant-negative mutant of
- SO JOURNAL OF VIROLOGY, (1992 Feb) 66 (2) 1236-40. Journal code: 0113724. ISSN: 0022-538X
- L6 ANSWER 36 OF 42 MEDLINE

**DUPLICATE 11** 

- TI Inhibition of cellular transformation by the adeno-associated virus rep gene.
- SO VIROLOGY, (1991 Apr) 181 (2) 738-41. Journal code: 0110674. ISSN: 0042-6822.
- L6 ANSWER 37 OF 42 MEDLINE
- TI Adeno-associated virus Rep protein inhibits human immunodeficiency virus
  - type I production in human cells.
- SO JOURNAL OF VIROLOGY, (1991 Jan) 65 (1) 396-404. Journal code: 0113724. ISSN: 0022-538X.
- L6 ANSWER 38 OF 42 MEDLINE
- TI Adeno-associated virus rep proteins produced in insect and mammalian
- expression systems: wild-type and dominant-negative mutant proteins
- to the viral replication origin.
- SO VIROLOGY, (1991 Sep) 184 (1) 14-22. Journal code: 0110674. ISSN: 0042-6822.
- L6 ANSWER 39 OF 42 CAPLUS COPYRIGHT 2002 ACS
- TI The adeno-associated virus rep gene suppresses herpes simplex virus-induced DNA amplification
- SO Journal of Virology (1990), 64(6), 3012-18 CODEN: JOVIAM; ISSN: 0022-538X
- L6 ANSWER 40 OF 42 MEDLINE

**DUPLICATE 12** 

- TI The adeno-associated virus Rep78 gene inhibits cellular transformation induced by bovine papillomavirus.
- SO VIROLOGY, (1989 Sep) 172 (1) 253-61. Journal code: 0110674. ISSN: 0042-6822.
- L6 ANSWER 41 OF 42 MEDLINE

**DUPLICATE 13** 

- TI Characterization of adeno-associated virus rep proteins in human cells by
- antibodies raised against rep expressed in Escherichia coli.
- SO VIROLOGY, (1987 Nov) 161 (1) 18-28. Journal code: 0110674. ISSN: 0042-6822.
- L6 ANSWER 42 OF 42 MEDLINE

**DUPLICATE 14** 

- TI Identification of the trans-acting Rep proteins of adeno-associated virus
  - by antibodies to a synthetic oligopeptide.

SO JOURNAL OF VIROLOGY, (1986 Dec) 60 (3) 823-32. Journal code: 0113724. ISSN: 0022-538X.

=> d his

(FILE 'HOME' ENTERED AT 09:28:27 ON 28 OCT 2002)

FILE 'MEDLINE, BIOSIS, CAPLUS' ENTERED AT 09:28:46 ON 28 OCT 2002

2605 S TRANSFECT I.1

1.2 225394 S TRANSFECT?

466 S REP 68 OR REP68 OR REP 78 OR REP78 L3

L4 6349 S INTEGRASE

L5 70 S L3 AND L2

1.6 42 DUP REM L5 (28 DUPLICATES REMOVED)

=> d ibib ab 20,18

L6 ANSWER 20 OF 42 MEDLINE

**DUPLICATE 6** 

ACCESSION NUMBER: 97214010 MEDLINE

DOCUMENT NUMBER: 97214010 PubMed ID: 9060699

TITLE:

Adeno-associated virus Rep78 protein and terminal repeats enhance integration of DNA sequences into the

cellular genome.

Balague C; Kalla M; Zhang W W

AUTHOR: CORPORATE SOURCE: Molecular Biology Department, Baxter Healthcare

Corporation, Round Lake, Illinois 60073, USA...

balaguc@baxter.com SOURCE:

JOURNAL OF VIROLOGY, (1997 Apr) 71 (4) 3299-

306.

Journal code: 0113724. ISSN: 0022-538X.

PUB. COUNTRY: United States

DOCUMENT TYPE: Journal; Article; (JOURNAL ARTICLE)

LANGUAGE: English

FILE SEGMENT: Priority Journals ENTRY MONTH: 199704

ENTRY DATE: Entered STN: 19970424

Last Updated on STN: 19970424

Entered Medline: 19970411

AB Two adeno-associated virus (AAV) elements are necessary for the integration of the AAV genome: Rep78/68 proteins and inverted terminal repeats (ITRs). To study the contribution of the Rep proteins and

the ITRs in the process of integration, we have compared the

efficiencies of three different plasmids containing a green fluorescent protein (GFP) expression cassette. In one plasmid, no viral sequences were

present; a second plasmid contained AAV ITRs flanking the reporter

(integration cassette), and a third plasmid consisted of an integration cassette plus a Rep78 expression cassette. One day after transfection of 293 cells, fluorescent cells were sorted by flow cytometry and plated at 1 cell per well. Two weeks after sorting, colonies

were monitored for stable expression of GFP. Transfection with the GFP plasmid containing no viral sequences resulted in no stable fluorescent colonies. Transfection with the plasmid containing the integration cassette alone (GFP flanked by ITRs) produced stable fluorescent colonies at a frequency of 5.3% +/- 1.0% whereas transfection with the plasmid containing both the integration cassette and Rep78 expression cassette produced stable fluorescent colonies at a frequency of 47% +/- 7.5%. Southern blot analysis indicated that in the presence of Rep78, integration is targeted to the AAVSI site in more than 50% of the clones analyzed. Some

clones also showed tandem arrays of the integrated GFP cassette. Both

head-to-head and head-to-tail orientations were detected. These

indicate that the presence of AAV ITRs and the Rep78 protein enhance the integration of DNA sequences into the cellular genome

the integration cassette is targeted to AAVS1 in the presence of Rep78.

L6 ANSWER 18 OF 42 MEDLINE

ACCESSION NUMBER: 97456572 MEDLINE

DOCUMENT NUMBER: 97456572 PubMed ID: 9311886 TITLE: Adeno-associated virus Rep proteins target DNA

sequences to

a unique locus in the human genome.

AUTHOR: Surosky R T; Urabe M; Godwin S G; McQuiston S

A; Kurtzman G

J; Ozawa K; Natsoulis G

CORPORATE SOURCE: Avigen, Inc., Alameda, California 94502, USA..

surosky@avigen.com CONTRACT NUMBER: U01-AI35382-01 (NIAID)

SOURCE: JOURNAL OF VIROLOGY, (1997 Oct) 71 (10) 7951-9.

Journal code: 0113724. ISSN: 0022-538X.

PUB. COUNTRY: United States

DOCUMENT TYPE: Journal; Article; (JOURNAL ARTICLE)

LANGUAGE: English

FILE SEGMENT: Priority Journals

ENTRY MONTH: 199710

ENTRY DATE: Entered STN: 19971105 Last Updated on STN: 19971105

Entered Medline: 19971020

AB We have developed a system for site-specific DNA integration in

cells, mediated by the adeno-associated virus (AAV) Rep proteins. In its

normal lysogenic cycle, AAV integrates at a site on human chromosome 19

termed AAVS1. We describe a rapid PCR assay for the detection of integration events at AAVS1 in whole populations of cells. Using this assay, we determined that the AAV Rep proteins, delivered in cis or trans.

are required for integration at AAVS1. Only the large forms of the Rep

protein, Rep78 and Rep68, promoted site-specific

integration. The AAV inverted terminal repeats, present in cis, were

essential for integration at AAVS1, but in cells containing Rep, they increased the efficiency of integration. In the presence of the Rep proteins, the integration of a plasmid containing AAV inverted terminal

repeats occurred at high frequency, such that clones containing the plasmid could be isolated without selection. In two of the five clones analyzed by fluorescence in situ hybridization, the plasmid DNA was integrated at AAVSI. In most of the clones, at least one copy of the entire plasmid was integrated in a tandem array. Detailed analysis of the

integrated plasmid structure in one clone suggested a complex mechanism

producing rearrangements of the flanking genomic DNA, similar to those

observed with wild-type AAV.

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LI 2605 S TRANSFECT

L2 225394 S TRANSFECT?

L3 466 S REP 68 OR REP68 OR REP 78 OR REP78 L4

6349 S INTEGRASE L5

70 S L3 AND L2 L6

42 DUP REM L5 (28 DUPLICATES REMOVED)

=> s cotransfect? or co-transfect?

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L7
         22034 COTRANSFECT? OR CO-TRANSFECT?
    => s |4 and |7
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            23 DUP REM L8 (24 DUPLICATES REMOVED)
    => s 19 not py>1998
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            5 L9 NOT PY>1998
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    L10 ANSWER LOF 5 MEDLINE
    T1 Extensive regions of pol are required for efficient human
   immunodeficiency
      virus polyprotein processing and particle maturation.
   SO VIROLOGY, (1996 May 1) 219 (1) 29-36.
      Journal code: 0110674. ISSN: 0042-6822.
   L10 ANSWER 2 OF 5 MEDLINE
   TI The nonmyristylated Pr160gag-pol polyprotein of human
   immunodeficiency
     virus type 1 interacts with Pr55gag and is incorporated into viruslike
     particles.
  SO JOURNAL OF VIROLOGY, (1992 Nov) 66 (11) 6304-13.
     Journal code: 0113724. ISSN: 0022-538X.
  L10 ANSWER 3 OF 5 MEDLINE
  Tl A rat brain mRNA encoding a transcriptional activator homologous
    DNA binding domain of retroviral integrases.
  SO NUCLEIC ACIDS RESEARCH, (1991 Oct 11) 19 (19) 5269-74.
    Journal code: 0411011. ISSN: 0305-1048.
 L10 ANSWER 4 OF 5 CAPLUS COPYRIGHT 2002 ACS
 Ti A system to analyze and identify inhibitors of HIV-1 gene
 regulation using
    a defective integrated provirus
 SO Methods in Enzymology (1996), 275(Viral Polymerases and
 Related Proteins),
    348-361
    CODEN: MENZAU; ISSN: 0076-6879
 L10 ANSWER 5 OF 5 CAPLUS COPYRIGHT 2002 ACS
 T1 Autonomous transposition of the tobacco retrotransposon Tto1 in
 SO Plant Cell (1996), 8(4), 725-34
   CODEN: PLCEEW; ISSN: 1040-4651
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  (FILE 'HOME' ENTERED AT 09:28:27 ON 28 OCT 2002)
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225394 S TRANSFECT?

6349 S INTEGRASE

70 S L3 AND L2

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5 S L9 NOT PY>1998

466 S REP 68 OR REP68 OR REP 78 OR REP78

42 DUP REM L5 (28 DUPLICATES REMOVED)

23 DUP REM L8 (24 DUPLICATES REMOVED)

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SINCE FILE TOTAL

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22034 S COTRANSFECT? OR CO-TRANSFECT?

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US-PAT-NO: 5643574

DOCUMENT-IDENTIFIER: US 5643574 A

TITLE: Protein- or peptide-cochleate vaccines and methods

of immunizing using

the same

DATE-ISSUED: July 1, 1997

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY Gould-Fogerite; Susan Annandale ΝĴ N/AN/AMannino; Raphael James Annandale NJ N/AN/A

ASSIGNEE INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY TYPE CODE Albany Medical College Albany NY N/A N/A02 University of Medicine Newark NJ N/AN/A02

& Dentistry of New

Jersey

APPL-NO: 08/ 130986

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FIELD-OF-SEARCH: 424/88; 424/89 ; 424/92 ; 424/184.1 ; 424/1.21 ; 264/4.6 ; 514/8

REF-CITED:

# U.S. PATENT DOCUMENTS PATENTEE-NAME

PAT-NO ISSUE-DATE

US-CL March 1978

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4078052 424/36

N/A

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Papahadjopoulos

4663161

May 1987

Mannino et al.

N/A

N/A

N/A

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4871488 N/A October 1989 N/A

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ART-UNIT: 182

PRIMARY-EXAMINER: Housel; James C.

ASSISTANT-EXAMINER: Minnifield; N. M.

#### ABSTRACT:

A method is described of immunizing a host by administering a biologically effective amount of a protein- or peptide-cochleate comprising at least a protein or peptide to which an immune response is elicited, a negatively charged lipid, and a divalent cation.

26 Claims, 25 Drawing figures

Exemplary Claim Number:

Number of Drawing Sheets: 25

#### GOVT-INTEREST:

Portions of the subject matter disclosed herein were supported in part by monies or grants from the United States Government.

## BRIEF SUMMARY:

- (1) FIELD OF THE INVENTION
- (2) The present invention relates to protein- or peptide-cochleate vaccines and methods of immunizing using protein- or peptide-cochleate structures.

  These unique vaccines are composed of insoluble antigen-lipid-divalent cation structures which can be administered orally as well as by conventional routes and which generate mucosal as well as circulating immune responses. Protective

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immunity against live pathogen challenge on a mucosal surface is demonstrated.

# (3) BACKGROUND OF THE INVENTION

Plain lipid cochleates (FIG. 1) have been described previously. Proteinor peptide-cochleates have been described heretofore and patented by the present inventors, as intermediate structures which can be converted to protein-lipid vesicles (proteoliposomes) (FIG. 2) by the addition of calcium chelating agents (see U.S. Pat. No. 4,663,161 and U.S. Pat. No. 4,871,488, the disclosures of which are expressly incorporated herein by reference). The structure of a protein- or peptide-cochleate is thought to be similar, perhaps with protrusions or bulges around the protein or peptide moieties. Indeed, a freeze-fracture electron micrograph of cochleates containing Sendai glycoproteins made by the DC method shows the rolled up lipid bilayer structures with a "bumpy" surface (FIG. 3). Plain phospholipid cochleates are smooth in this type of preparation. These proteoliposomes resulting from protein- or peptide-cochleates have been shown to be effective immunogens when administered to animals by intraperitoneal and intramuscular routes of immunization (G. Goodman-Snitkoff, et al., J. Immunol., Vol. 147, p.410 (1991); M. D. Miller, et al., J. Exp. Med., Vol. 176, p. 1739 (1992)). Further, when the glycoproteins of Sendai or influenza viruses are reconstituted by this method, these proteoliposomes are effective delivery vehicles for proteins and DNA to animals and to cells in culture (R. J. Mannino and S. Gould-Fogerite, Biotechniques, Vol. 6, No. 1, pp. 682-690 (1988); S. Gould-Fogerite et al., Gene, Vol. 84, p. 429 (1989); M. D. Miller, et al., J. Exp. Med., Vol. 176, p. 1739 (1992)). Nonetheless, it would be advantageous to

provide additional configurations for synthetic vaccines. It would also be advantageous to provide synthetic vaccines in a form that is stable at room temperature and that is suitable for oral administration. As a result of investigations in this area, the present invention was made.

## (5) SUMMARY OF THE INVENTION

- (6) Accordingly, it is an object of this invention to provide vaccines and a method of immunizing, wherein the vaccine is composed of an insoluble antigen-lipid-divalent cation structure which, following administration, including oral, i.e., peroral, administration, can induce mucosal and circulating, humoral and cell mediated immune responses.
- (7) These and other objects have been obtained by providing a vaccine comprising an immunologically effective amount of a protein- or peptide-cochleate, wherein said protein- or peptide-cochleate comprises the following components:
- (8) a) a protein or peptide component to which an immune response can be elicited,
- (9) b) a negatively charged lipid component, and
- (10) c) a divalent cation component.
- (11) The present invention also provides a method of immunizing comprising administering to a host a biologically effective amount of the above-described protein- or peptide-cochleate.
- (12) In a preferred embodiment, the vaccine is administered orally.
- (13) The advantages of immunizing with cochleates are numerous. The

cochleates have a non-aqueous structure and therefore they:

- (14) (a) are more stable because of less oxidation of lipids;
- (15) (b) can be stored lyophilized which provides the potential to be stored for long periods of time at room temperatures, which would be advantageous for worldwide shipping and storage prior to administration;
- (16) (c) maintain their structure even after lyophilization, whereas liposome structures are destroyed by lyophilization;
- (17) (d) exhibit efficient incorporation of antigens with hydrophobic moieties into the lipid bilayer of the cochleate structure;
- (18) (e) have the potential for slow release of antigen in vivo as cochleates slowly unwind or otherwise dissociate;
- (19) (f) have a lipid bilayer matrix which serves as a carrier and is composed of simple lipids which are found in animal and plant cell membranes, so that the lipids are non-toxic, non-immunogenic and non-inflammatory;
- (20) (g) contain high concentration of calcium, an essential mineral;
- (21) (h) are safer than live vaccines, since the cochleates are non-living subunit formulations, and as a result the cochleates have none of the risks associated with use of live vaccines, such as life threatening infections in immunocompromised individuals or reversion to wild type infectivity which poses a danger to even healthy people:
- (22) (i) are produced easily and safely; and
- (23) (j) can be produced as defined formulations composed of predetermined amounts and ratios of antigens, including proteins,

peptides, carbohydrates, and nucleic acids.

The advantages of oral vaccination are also numerous. An oral route has been chosen by the WHO Children's Vaccine Initiative because of ease of administration and opportunity to prime the mucosal immune vaccines are less expensive and much safer to administer (intramuscular or subcutaneous) administered vaccines. The use of needles adds to the cost, and also, unfortunately, in the field, needles This can lead to spread of disease between vaccinated individuals and could be potentially disastrous in areas where there is a high incidence of infection with human immunodeficiency virus (HIV) which causes AIDS. nasal, ocular and vaginal mucous membranes are the primary a large number and wide variety of human disease-causing agents. Intramuscular or subcutaneous administration of vaccines often does not protection against these infectious agents. In contrast, delivery can stimulate strong protective responses on mucous membranes and in the circulation.

# BRIEF DESCRIPTION OF THE DRAWINGS

- FIG. 1 is a schematic representation of a plain lipid cochleate.
- FIG. 2 shows the structure of protein-lipid vesicles with integrated membrane proteins.
- FIG. 3 is a freeze-fracture electron micrograph of a protein- or peptide-cochleate. The inset bar is 0.1 micrometers.
- FIG. 4 summarizes the various alternative procedures for the preparation of

protein- or peptide-cochleates.

- FIGS. 5(A) and 5(B) show serum antibody titers in mice following oral administration of influenza protein-cochleates.
- FIG. 6 is a graph showing serum antibody titers following a single oral dose of influenza protein-cochleates.
- FIG. 7 is a graph showing the results of oral administration of protein- or peptide-cochleares when challenged with live virus. In the figure, "ND" means "not determined".
- FIG. 8 is a graphic representation of serum antibody titers in mice following oral administration of Sendai-cochleates.
- FIG. 9 is a graph showing antibody-isotypes following oral administration of Sendai protein cochleates.
- FIG. 10 is a graph showing antigen-specific IgA following oral administration of Sendai protein cochleates.
- FIG. 11 is a graph showing the production of antigen-specific local or secretory IgA after three immunizations with protein-cochleates.
- FIGS. 12(A) and (B), 13(A) and (B), 14(A) and (B), 15(A) and (B), and 16(A) and (B) show spleenocite proliferation following immunization with influenza-cochleates. Part (A) of each figure shows the response to media as a control. Part (B) of each figure shows the proliferative response to ultraviolet light-inactivated influenza virus over several days in culture.
- FIG. 17 is a graph depicting the induction of antigen specific cytotoxic spleenocites following oral administration of Sendai-cochleates.

FIG. 18 shows the antibody responses following oral administration of cochleates containing Sendai glycoproteins, a peptide linked to phosphatidylethanolamine or both Sendai and PE-linked peptide.

FIG. 19 is a graph showing Peyer's Patch proliferation after oral administration of Sendai-cochleates.

# (1) DETAILED DESCRIPTION OF THE INVENTION

- The present inventors have now found surprisingly and (2) have demonstrated that protein- or peptide-cochleate structures can themselves be used as vaccines, including oral vaccines. These cochleates apparently survive the harsh acid environment of the stomach, protecting the delicate proteins within them, probably by virtue of their unique multilayered precipitate structure. It is likely that they are then taken up by microfold cells small intestine, where they are presented to T and B cells. stimulation of these cells by foreign proteins can lead to blood borne (circulatory) and mucous membrane borne (mucosal) immune responses. These can be humoral (antibody) and cell mediated (helper or cytotoxic "killer" cell) responses.
- (3) The present inventors have demonstrated that oral administration by drinking cochleates containing the glycoproteins and viral lipids from the surface of influenza or Sendai viruses plus phosphatidyl serine and cholesterol, stimulate both mucosal and circulating antibody responses. In addition, strong helper cell (proliferative) and killer (cytotoxic) cell responses are also generated. Perhaps most impressively, oral administration

of the influenza cochleates has been shown to protect against intranasal challenge with live virus.

- (4) These results are unexpected for a number of reasons.
- (5) It was not known and was not expected that the cochleate structures would survive the stomach and protect the proteins associated with them from its acid environment and degradative enzymes. It is known that without the presence of at least 3 mM calcium, the cochleates begin to unwind and form liposomes. It was possible, in fact likely, that the cochleates would not remain intact during the transit from the mouth, down the esophagus, and through the stomach. If they did come apart, they would be digested as food.
- Despite the attractiveness of the oral route for vaccine administration because of its ease and the possibility of priming the mucosal immune system, very little success has been achieved in this area. Positive results have mainly been limited to viruses and bacteria which have evolved to infect using the oral route of entry to eventually replicate in the gut, virus). Enveloped viruses such as influenza and Sendai (and liposomes made from them) do not have the appropriate physical characteristics to efficiently survive the stomach and small intestinal degradation environments. Additionally, it has been difficult to achieve significant circulatory immune responses using nonliving vaccines administered only by the oral route. Some success has been achieved using multiple intramuscular priming boosts and then following with oral boosting. To the inventors' knowledge, invention is the only system where oral administration of a which is not or does not contain parts of an organism which

gastrointestinal tract has led to significant circulating and mucosal antibody responses, and cell mediated immunity. The fact that the mucosal (and circulating) responses were significant enough to protect mice from viral replication in the trachea and lungs following intranasal challenge, makes these results all the more novel and significant.

- Also, having survived the stomach, that these structures would interact in an effective way with the mucosal and circulating immune systems was unknown and unexpected. Everyone ingests large quantities of proteins, fats and sugars on a daily basis which simply get digested and used as fuel, without stimulating any kind of mucosal or circulating immune responses. Yet, the body needs to be able to respond to infectious organisms which enter and infect by this route. The parameters which regulate the outcome of introduction of proteins via this route, i.e., immune response, lack of response, or tolerance, are not currently understood. Given the difficulty in using the oral route to get good immune responses to non-live vaccines, and the lack of understanding of the regulatory mechanisms involved, the ability to use cochleate structures to induce strong circulating and mucosal immune responses could not be predicted.
- (8) As used herein, the term "immune response" means either antibody, cellular, proliferative, or cytotoxic activities, or secretion of cytokines.
- (9) The protein- or peptide-cochleates used in the vaccine and method according to the present invention can be prepared by known methods such as those described in U.S. Pat. No. 4,663,161, filed Apr. 22, 1985, U.S. Pat. No. 4,871,488, filed Apr. 13, 1987, S. Gould-Fogerite et

al., Analytical Biochemistry, Vol. 148, pages 15-25 (1985); S. Gould-Fogerite et al., Advances in Membrane Biochemistry and Bioenergetics, edited by Kim, C. H., Tedeschi, T., Diwan, J. J., and Salerno, J. C., Plenum Press, New York, pages 569-586 (1988); S. Gould-Fogerite et al., Gene, Vol. 84, pages 429-438 (1989); Liposome Technology, 2nd Edition, Vol. I, Liposome Preparation and Related Techniques, Vol. II, Entrapment of Drugs and Other Materials, and Vol. III, Interactions of Liposomes with the Biological Milieu, all edited by Gregory Gregoriadis (CRC Press, Boca Raton, Ann Arbor, London, Tokyo), Chapter 4, pp 69-80, Chapter 10, pp 167-184, and Chapter 17, pp. 261-276 (1993); and R. J. Mannino and S. Gould-Fogerite, Liposome Mediated Gene Transfer, Biotechniques, Vol. 6, No. 1 (1988), pp. 682-690. In the initial step of these methods, a desired immunogen which can be a peptide or protein, a carbohydrate, or DNA, is prepared.

(10)The immunogen is extracted out from the source particle, cell, tissue, or organism by known methods. Preferably the immunogen is protein. Preferably the peptide or protein is a glycoprotein or membrane protein, and more preferably a membrane glycoprotein. Biological activity of proteins need not be maintained. However, in some instances (e.g., where a protein has membrane fusion or ligand binding activity or a conformation which is recognized by the immune system), it is desirable to maintain the biological activity of a protein. In these extraction buffer containing a detergent which does not destroy the biological activity of the membrane protein is used. Suitable detergents include ionic detergents such as cholate salts, deoxycholate salts and

the like or nonionic detergents such as those containing polyoxyethylene or sugar head groups or heterogeneous polyoxyethylene detergents such as TWEEN or BRIG or TRITON.

Preferred detergents are nonionic detergents containing sugar head groups such as the alkyl glucosides. A particularly preferred nonionic detergent for this purpose is .beta.-D-octyl-glucopyranoside.

- (11) Utilization of this method allows efficient association with the cochleates and, eventually, reconstitution of the membrane proteins into the liposomes with retention of biological activities. This step avoids previously utilized organic solvents, sonication, or extremes of pH, temperature, or pressure, all of which may have an adverse effect upon efficient reconstitution in a biologically active form of the desired membrane proteins.
- (12) The buffer component utilized in conjunction with the aforesaid detergents can be any conventional buffer employed for membrane protein extractions. A suitable extraction buffer for the present purposes can be prepared utilizing a 2M NaCl, 0.02M sodium phosphate buffer (pH 7.4). The concentration of the detergent component in the buffer is not narrowly critical and can be in the range of from 0.1 to 20% (w/v) preferably from 1 to 5%, most preferably about 2%. The extraction efficiency can be enhanced by utilizing techniques well known in the art, such as by vortexing and sonicating
- (13) The extracted membrane proteins are removed from nonsoluble debris by procedures well known in the art, such as for example by centrifugation or chromatography. The resulting supernatant containing the extracted membrane protein may then be applied directly in the cochleate

formation procedure.

- (14) Membrane proteins which can be employed in the practice of the present invention include viral proteins such as for example viral envelope protein, animal cell membrane protein, plant cell membrane protein, bacterial membrane protein, parasite membrane protein, viral membrane protein and the like. These respective proteins can be separated from other components by procedures well known in the art prior to introduction into the present methodology or they can be resolved during the course of the procedure as will be described below.
- employed in conjunction with the method of the invention include Sendai, influenza, herpes simplex or genitalis, HTLV I, II or III, retroviruses, pox virus, respiratory syncytial virus, toga virus, and the like. The present invention can also be employed in conjunction with membrane proteins derived from bacterial or parasitic organisms such as for example organisms causing malaria, chlamydia, N. gonorrhea, salmonella, liver flukes and the like.
- (16) Peptides or proteins having at least enough hydrophobic character to allow for association with a lipid bilayer are preferred. Additionally, the peptides or proteins can be covalently cross-linked to a lipid as described in Liposome Technology, 2nd Edition, Vol. II, Entrapment of Drugs and Other Materials, edited by Gregory Gregoriadis, (CRC Press, Boca Raton, Ann Arbor, London, Tokyo), Chapter 10, pages 167-184 (1993).
- (17) Examples of suitable peptides and proteins can be found in the following references:
- (18) (1) G. Goodman-Snitkoff et al., Defining Minimal

Requirements for Antibody Production to Peptide Antigens, Vaccine, Vol. 8, page 257 (1990);

- (19) (2) G. Goodman-Snitkoff et al., Role of
  Intrastructural/Intermolecular
  Help in Immunization with Peptide-Phospholipid complexes,
  J. Immunol., Vol.
  147, page 410 (1991);
- (20) (3) R. J. Mannino et al., Liposomes as Adjuvants for Peptides:
  Preparation and Use of Immunogenic Peptide-phospholipid
  Complexes, in Liposome
  Technology, 2nd Edition, Vol. II, Entrapment of Drugs and other Materials, edited by Gregory Gregoriadis, (CRC Press, Boca Raton, Ann Arbor, London,
  Tokyo), Ch. 10, pp. 167-184 (1993).
- (21) The aforesaid peptides or proteins, or mixtures thereof to provide multiple epitopes, are then mixed with phospholipid to form protein- or peptide-cochleates. Carbohydrate or DNA immunogen can also be added.
- In order to form cochleate precipitates, a majority of the lipid present must be negatively charged. One type of lipid can be used lipids can be used. Phosphatidylserine or phosphatidyl-glycerol have generally been used. Phosphatidyl-inositol also forms a precipitate liposomes upon contact with EDTA. A substantial proportion of the lipid can, however, be neutral or positively charged. inventors have included The present up to 40 mol % cholesterol based on total lipid present and routinely make protein-lipid cochleates which contain 10 mol % cholesterol membrane lipids. Phosphatidylethanolamine, plain or cross-linked to peptides or proteins, can also be incorporated into cochleates.
- (23) While negatively charged lipid can be used, a

negatively charged phospholipid is preferred, and of these phosphatidylserine, phosphatidylinositol, phosphatidic acid, and phosphatidylglycerol are most preferred.

- One skilled in the art can readily determine how (24)much lipid must be negatively charged by preparing a mixture with known concentrations of negative and non-negative lipids and by any of the procedures described below, determining whether precipitates form.
- There are several known procedures for making the (25)protein- or peptide-cochleates of the present invention and these are schematized in FIG. 4. One such method is the so-called standard cochleate
- obtained by use of the

calcium-EDTA-chelation technique described by D. Papahadjopoulos et al.

[Biochem. Biophys. Acta, Vol. 394, page 483 (1975)] for making plain

phospholipid cochleates. In an embodiment of the present

modification of such procedure is employed. modified procedure a

negatively charged lipid such as phosphatidylserine, phosphatidylinositol,

phosphatidic acid or phosphatidylglycerol in the absence or

cholesterol (up to 3:1, preferably 9:1 w/w) are utilized to

suspension of multilamellar protein lipid vesicles containing and surrounded by

antigen (protein, carbohydrate, and/or DNA) which are converted to small

unilamellar protein lipid vesicles by sonication under nitrogen. These

vesicles are dialyzed at room temperature against buffered divalent cation,

e.g., calcium chloride, resulting in the formation of an insoluble precipitate

referred to as a cochleate cylinder. After centrifugation,

pellet can be taken up in buffer to yield the cochleate solution utilized in

the vaccine of the present invention.

- In an alternative and preferred embodiment, an amount of negatively charged lipid, e.g., phosphatidylserine, and cholesterol in proportions as above and equal to from about 1 to 10 times preferably equal to four times the weight of the viral or other additional lipids are utilized to prepare the cochleates. Supernatant from the nonionic detergent extraction of membrane proteins or other proteins or peptides is then added, and the solution is vortexed for five minutes. Either carbohydrates or DNA can be used in place of or in combination with peptides or proteins. This solution is then dialyzed against buffered divalent cation, e.g., calcium chloride, to produce a precipitate which can be called a DC (for direct calcium dialysis) cochleate.
- An additional, related method for reconstituting (27)proteins or peptides into cochleates has been developed and is called the LC method (liposomes before cochleates). The initial steps involving addition of extracted protein or peptide, or carbohydrate, or DNA or combinations thereof, to dried down negatively charged lipid and cholesterol are the same as for the DC method. However, the solution is next dialyzed against buffer (e.g., 2 mMTES, 2 mML-histidine, 100 mMNaCl, pH 7.4) to form small liposomes glycoproteins, peptides, DNA, and/or carbohydrates. A divalent cation, e.g., calcium, is then added either directly or by dialysis to form a precipitate which consists of protein- or peptide-cochleates.
- (28) In the above procedures for making the cochleates of the present invention, the divalent cation can be any divalent cation that can induce the

formation of a cochleate or other insoluble lipid-antigen structures. Examples of suitable divalent cations include Ca.sup.++, Mg.sup.++, Ba.sup.++, and Zn.sup.++ or other elements capable of forming divalent ions or other structures having multiple positive charges capable of chelating and bridging negatively charged lipids.

- (29) Protein- or peptide-cochleates can be lyophilized and stored at room temperature for indefinitely or can be stored in a divalent cation-containing buffer at 40.degree. C. for at least six months.
- (30) After the protein- or peptide-cochleate precipitate is formed, the vaccine is made by diluting into an appropriate pharmaceutically acceptable carrier (e.g., a divalent cation-containing buffer).
- (31) The reconstituted viral, bacterial, parasitic or animal proteins, peptides, carbohydrates, and/or DNA in the cochleates of the present invention can be employed as vaccines to render immunity to hosts treated with such compositions.
- (32) Cochleate vaccines can include multiple synthetic peptide epitopes and thus offer a simple means of generating antiviral cell-mediated immunity in a genetically heterogeneous population. Formulations can be generated using mixtures of proteins or peptides either individually or as mixtures in various ratios.
- (33) According to the method of the present invention, a host is immunized by administering an immunologically effective amount of the above-described protein- or peptide-cochleates. Advantageously, administration may be oral. However, the vaccine can also be administered by any of a variety of

art-recognized modes of administration, including intramuscular, subcutaneous, intradermal, intranasal, intra-ocular, intraperitoneal, intra-vaginal, intra-rectal and by lung aerosol. Appropriate dosages are determinable by, for example, dose-response experiments in laboratory animals or in clinical trials and taking into account body weight of the patient, absorption rate, half life, disease severity and the like. The number of doses, daily dosage and course of treatment may vary from individual to individual.

- (34) Pharmaceutical formulations can be of solid form including tablets, capsules, pills, bulk or unit dose powders and granules or of liquid form including solutions, fluid emulsions, fluid suspensions, semisolids and the like. In addition to the active ingredient, the formulation would comprise suitable art-recognized diluents, carriers, fillers, binders, emulsifiers, surfactants, water-soluble vehicles, buffers, solubilizers and preservatives.
- (35) The skilled artisan can determine the most efficacious and therapeutic means for effecting treatment practicing the instant invention. Reference can also be made to any of numerous authorities and references including, for example, "Goodman & Gilman's, The Pharmaceutical Basis for Therapeutics", (6th Ed., Goodman, et al., eds., MacMillan Publ. Co., New York, 1980).
- (36) The vaccines elicit humoral (antibody) and cell mediated (proliferation of helper T cells or cytotoxic "killer" activity by cytotoxic cells)--circulating and mucosal protective immune responses as shown in the examples below.
- (37) EXAMPLES

- (38) The present invention will now be described by means of specific examples which are not meant to limit the invention.
- (39) EXAMPLE 1
- (40) FORMATION OF PROTEIN-COCHLEATES USING SENDAI OR INFLUENZA VIRUSES
- (41) Materials and Methods
- Materials. Bovine brain phosphatidylserine in (42)chloroform was purchased from Avanti Polar Lipids, Birmingham, Ala. in glass ampules and stored under nitrogen at -20.degree. C. Cholesterol (porcine liver) grade I. .beta.-D-octyl-glucopyranoside, fluorescein isothiocyanate (FITC) -dextran (average mol. wt. 67,000), metrizamide grade I, and chemicals for buffers and protein and phosphate determinations, were obtained from Sigma Chemical Company, St. Louis, Mo. Organic solvents were purchased from Fisher Scientific Co., Fairlawn, N.J. Reagents for polyacrylamide gel electrophoresis were from BioRad Laboratories, Richmond, Calif. S1000 Sephacryl Superfine was obtained from Pharmacia, Piscataway, N.J. Thick walled polycarbonate centrifuge tubes (10 ml capacity) from Beckman Instruments, Palo Alto, Calif., were used for vesicle preparations, washes, and gradients. A bath type sonicator, Model G112SP1G, from Laboratory Supplies Company, Hicksville, N.Y. was used for sonications.
- (43) Viral Growth and Purification. Virus was grown and purified essentially as described by M. C. Hsu et al., Virology, Vol. 95, page 476 (1979). Sendai (parainfluenza type I) and influenza (A/PR8/34) viruses were propagated in the allantoic sac of 10 or 11 day old embryonated chicken eggs. Eggs were inoculated with 1-100 egg infectious doses (10.sup.3 to

10.sup.5 viral particles as determined by HA titer) in 0.1 ml of phosphate buffered saline (0.2 gm/L KCl, 0.2 gm/L KH.sub.2 PO.sub.4, 8.0 gm/L NaCl, 1.14 gm/L Na.sub.2 H-PO.sub.4, 0.1 gm/L CaCl.sub.2, 0.1 gm/L MgCl.sub.2 6H.sub.2 O (pH 7.2)). Eggs were incubated at 37.degree. C. for 48 to 72 hours, followed by incubation at 4.degree. C. for 24 to 48 hours. Allantoic fluid was collected and clarified at 2,000 rpm for 20 minutes at 5.degree. C. in a Damon IEC/PR-J centrifuge. The supernatant was then centrifuged at 13,000 rpm for 60 minutes. This and all subsequent centrifugations were performed in a Sorvall RC2-B centrifuge at 5.degree. C. using a GG rotor. The pellets were resuspended in phosphate buffered saline (pH 7.2) by vortexing and sonicating, followed by centrifugation at 5,000 rpm for 20 minutes. The pellet was resuspended by vortexing and sonicating, diluting, and centrifuging again at 5,000 rpm for 20 minutes. The two 5,000 rpm supernatants were combined and centrifuged at 13,000 rpm for 60 minutes. The resulting pellets were resuspended in phosphate-buffered saline by vortexing and sonicating, aliquoted, and stored at -70.degree. C. Sterile technique and materials were used throughout viral inoculation, isolation, and purification.

(44) Extraction of Viral Glycoproteins and Lipids. Virus stored at -70.degree. C. was thawed, transferred to sterile thick-walled polycarbonate tubes, and diluted with buffer A (2 mMTES, 2 mML-histidine, 100 mM NaCl (pH 7.4)). It was pelleted at 30,000 rpm for 1 hour at 5.degree. C. in a Beckman TY65 rotor. The supernatant was removed and the pellet resuspended to a concentration of 2 mg viral protein per ml of extraction buffer (2M NaCl, 0.02M sodium phosphate buffer (pH 7.4)) by vortexing and

sonicating. The nonionic detergent beta. -D-octyl-glucopyranoside was then added to a concentration of 2% (w/v). The suspension was mixed, sonicated for 5 seconds, and placed in a 37.degree. C. water bath for 45 minutes. At 15, 30, and 45 minute incubation times, the suspension was removed briefly for mixing and Nucleocapsids were pelleted by centrifugation at 30,000 rpm for 45 minutes in a TY65 rotor. The resulting clear supernatant was removed and used in the formation of viral glycoprotein-containing cochleates. Some modification of the above procedure may have to be employed with other membrane proteins. Such modifications are well known to those skilled in the art.

- (45) Formation of Cochleares
- (46) A. Standard Cochleates
- Large, unilamellar, non protein-containing, phospholipid vesicles were made by a modification of the calcium-EDTA-chelation technique described by D. Papahadjopoulos et al., Biochem. Biophys. Acta, Vol. 394, page 483 (1975). Phosphatidylserine and cholesterol (9:1 wt ratio) were dried down in a clean glass tube under a stream of nitrogen. The lipid was resuspended in buffer A (pH 7.4) to a concentration of 6 .mu.Mol/ml by vortexing for 7 minutes. The resulting suspension of multilamellar vesicles was converted to small unilamellar vesicles by sonication under nitrogen at 5.degree.-10.degree. C for approximately 20 minutes in a bath-type sonicator. (Model G1125P16, Laboratory Supplies Co., Hicksville, N.Y.). These vesicles were dialyzed at room temperature against two changes of 250 ml of buffer  $\mathtt{A}$ (pH 7.4) with 3 mM CaCl.sub.2. This results in the formation of an insoluble precipitate referred to as cochleate cylinders.

## (48) B. DC Cochleates

The envelope glycoproteins of Sendai virus account for about 33% of the total viral protein and are present in approximately equal weight to the viral lipid. An amount of phosphatidylserine and cholesterol (9:1 wt ratio) equal to 4 times the weight of the viral lipid was dried down under nitrogen in a clean glass tube. The amount of lipid added to the influenza virus extract was also equal to four times of the total viral protein. Supernatant from .beta.-D-octyl-glucopyranoside-extracted virus (see Extraction of Viral Glycoproteins and Lipids) was added, and the solution was vortexed for 5 minutes. The clear, colorless solution which resulted was dialyzed at room temperature against three changes (minimum 4 hours per change) of buffer A (2 mM TES N-Tris[hydroxymethyl]-methyl-2 aminoethane sulfonic L-histidine, 100 mM NaCl, pH 7.4) containing 3mMCaCl.sub.2. The final dialysis routinely used is 6 mM Ca.sup.2+, although 3 mM Ca.sup.2+ is sufficient and other concentrations may be compatible with cochleate formation. The ratio of dialyzate to buffer for each change was a minimum of 1:100. The resulting white calcium-phospholipid precipitates have been termed DC cochleates. When examined by light microscopy (.times.1000, phase contrast, oil), the suspension contains numerous spheres up to several microns in diameter with bumps or spikes on their surface, as well as needle-like structures.

## (50) C. LC Cochleates

(51) Solubilized viral envelope was added to a film of phosphatidylserine and cholesterol (9:1 w/w) equal to four times the weight of the viral glycoproteins (which comprise one-third of the total protein of the

virus), and then vortexed. This detergent solution containing solubilized lipids and membrane proteins was first dialyzed overnight using a maximum ratio of 1:200 (v/v) of dialysate to buffer A without divalent cations, followed by three additional changes of buffer leading to the formation of small protein lipid vesicles. These vesicles were converted to a protein- or peptide-cochleate precipitate, either by the direct addition of Ca.sup.2+ ions, or by dialysis against one change of buffer A containing 3 mM Ca.sup.2+ ions, followed by one containing buffer A with 6 mM Ca.sup.2+.

#### (52) EXAMPLE 2

- (53) CIRCULATING ANTIBODY RESPONSES TO ORALLY DELIVERED PROTEIN-COCHLEATE VACCINES
- (54) In order to make the vaccine, influenza virus was grown, purified, and the glycoproteins and lipids extracted and isolated as described in Example 1.

  Protein-cochleates were made according to the "LC cochleate" procedure described above.
- (55) Cochleate vaccines containing the glycoproteins and lipids from the envelope of influenza virus and phosphatidylserine and cholesterol were given to mice by gradually dispensing 0.1 ml liquid into the mouth and allowing it to be comfortably swallowed. FIGS. 5(A) (from Experiment A) and 5(B) (from Experiment B) show resulting total circulating antibody levels specific for influenza glycoproteins, as determined by ELISA. Antibody titer is defined as the highest dilution that still gives the optimal density of the negative control.
- (56) In Experiment A that generated the data shown in

FIG. 5(A), initial vaccine doses of 50, 25, 12.5 or 6.25 .mu.g of glycoproteins (groups 1 through 4 respectively) were administered at 0 and 3 weeks. The third and fourth immunizations (6 and 19 weeks) were at one fourth the dose used for the initial two immunizations. Bleed 1-Bleed 6 occurred at 0, 3, 6, 9, 19, and 21 weeks.

The data demonstrate that high circulating antibody titers can be achieved by simply drinking cochleate vaccines containing vital glycoproteins. The response is boostable, increasing with repeated administration, and is directly related to the amount of glycoprotein in the vaccine.

- These observations were confirmed and extended in Experiment B that generated the data shown in FIG. 5(B). The dose range was expanded to include 100 .mu.g and 3.1 .mu.g initial doses. Vaccine was given at 0, 3 and 15 weeks, with the third immunization at one fourth the dose of the initial two. Bleed 1 to Bleed 6 occurred at 0, 3, 6, 15 and 16 weeks. Circulating influenza glycoprotein-specific responses were detectable after a single administration for the top five doses, and for all groups after two feedings. The data shown is for pooled sera from each group, but all mice given the four highest doses, and four of five mice in groups five and six, responded to circulating antibody titers ranging from 100 to 102,400. Group seven, which received no vaccine, had titers less than 50 for all mice at all time points.
- (58) The antibody response is long lived. Titers 13 weeks after the third immunization (FIG. 5(A), bleed 5) and 12 weeks after the second immunization (FIG. 5(B), bleed 4) remained the same or within one dilution higher or lower than seen at 3 weeks after the previous boost.

(59) In Experiment C that generated the data shown in FIG. 6, a single oral dose of 50 .mu.g was administered. The mice were bled at 0, 28, 56 and 90 days and the antibody titer was determined by ELISA. The slowly increasing titers shown in FIG. 6 indicate the possibility of persistence and slow release of antigen from the cochleates.

### (60) EXAMPLE 3

- (61) PROTECTION FROM INTRANASAL CHALLENGE WITH LIVE INFLUENZA FOLLOWING ORAL IMMUNIZATION WITH GLYCOPROTEIN-COCHLEATES
- In order to determine whether oral administration of (62) the subunit vaccine described in Example 2 could lead to protective immunity in the respiratory tract, the mice described in Experiment B of Example 2 were cochleates at 0, 3, and 15 weeks. The immunized mice were challenged by intranasal application of 2.5.times.10.sup.9 particles of influenza virus at 16 weeks. Three days after viral challenge, mice were sacrificed, and lungs and trachea were obtained. The entire lung or trachea was triturated and sonicated, and aliquots were injected into embryonated chicken eggs to allow amplification of any virus present. After three days at 37.degree. C., allantoic fluid was obtained from individual eggs, and hemagglutination (HA) titers were performed.
- (63) Mice were also challenged with live influenza intranasally following oral cochleate administration in Experiment A of Example 2. Lungs were obtained three days later and cultured to detect presence of virus.
- (64) The combined data for the two experiments is given in Table 1. These results are also shown graphically in FIG. 7.

Vaccine Trachea.sup.1
Lungs.sup.2 Lungs.sup.3 Dose # Infected/ # Infected/ #
Infected/ .mu.g
Protein Total Total Total

0/5 50 2/5 0/5 2/10 25 0/5 0/5 1/10 125 1/5 0/5 1/10

3.12 4/5 5/5 5/5 0 5/5 5/5 9/10

Mice from Experiment B. .sup.2 Mice from Experiment B. .sup.3 Mice from Experiment B. Experiments A and B.

- The data in Table 1 shows that all five of the (65)unvaccinated mice had sufficient virus in the trachea to infect the embryonated chicken eggs (greater than 10.sup.3 particles per trachea or at least one egg infectious dose (EID) per 0.1 ml of suspension). In contrast, the oral vaccine provided a high degree of protection from viral replication in the trachea. All mice in groups 1, 3 and 5 of Experiment B were negative for virus. Two mice in group 2, 1 in group 4, and 4 in group 6 (the lowest vaccine dose) of Experiment B had sufficient virus to test positive in this very sensitive assay used to detect presence of virus.
- (66) The oral protein cochleate vaccine also provided protection against viral replication in the lungs. All twenty mice which received the four highest doses of vaccine were negative for virus when lung suspensions were cultured in embryonated chicken eggs (Table 1). All mice in the groups immunized with 6.25 .mu.g and 3.1 .mu.g glycoproteins and all mice in the unvaccinated control were positive for virus.
- (67) Even in the lowest two vaccine doses, there was some inhibition of viral replication. When lung suspensions were diluted 1/10 and

inoculated into eggs, only one animal in the groups immunized with 6.25 .mu.g was positive, as compared to three in the groups immunized with 3.12 .mu.g and three in the unvaccinated control. Culturing of 1/100 dilutions resulted in one positive animal in each of the groups immunized with 6.25 and 3.12  $\,$ .mu.g, but 3 of 5 remained positive in the unvaccinated group. In addition, for the two animals in the group that was immunized with 3.12 .mu.g, but which were negative at 1/100, only 50% of the eggs were infected at 1/10 and had low HA titers. In contrast, for the unvaccinated group, all eggs were infected and produced maximal amounts of virus at 1/10 and 1/100 dilutions.

### (68) EXAMPLE 4

- (69) ORAL ADMINISTRATION OF SENDAI COCHLEATE STIMULATES CIRCULATING ANTIBODY PRODUCTION
- C57BL6 mice were given cochleates containing Sendai (70)virus glycoproteins orally at 0 and 3 weeks. They were bled at 0 (bleed 1),  $\cdot$  3 (bleed 2), and 6 (bleed 3) weeks. Group 1 received approximately 50 .mu.g protein, Group 2 about 25 .mu.g, Group 3 about 12.5 .mu.g, Group 4 about 6.25 .mu.g, and Group 5 (negative control) received 0 .mu.g protein. The levels of Sendai specific antibodies in the serum pooled from 5 mice in each dose group were determined by ELISA. The results are shown in FIG. 8. It can be seen that strong antibody responses were generated, that the magnitude of the response was directly related to the immunizing dose, and that the magnitude of the response increased (boosted) after a second immunization.
- (71) The response was extremely long-lived. FIG. 9 shows a breakdown of the classes and subtypes of Sendai-glycoprotein-specific

antibodies still circulating 8 months later. The response is predominantly IgG, indicative of the involvement in T cell help and establishment of long-term memory cells associated with a secondary immune response. Surprisingly, the lowest dose which initially had the lowest response, now had the highest circulating antibody levels. This may be due to the immune system's down regulation of the very high responses originally but allowing the low response to slowly climb. This may also indicate a persistence and slow release of antigen. It is also interesting and consistent with the use of the oral route of immunization that significant IgA titers are generated and maintained (FIG.

### (72) EXAMPLE 5

- (73) IMMUNIZATION WITH PROTEIN-COCHLEATES LEADS TO PRODUCTION OF ANTIGEN-SPECIFIC LOCAL OR SECRETORY IGA
- Balb C mice were given Sendai glycoprotein-containing cochleates (50 .mu.g dose) by a single route or two routes simultaneously. They were boosted using the same immunization protocol at 3 weeks. one was also 3 weeks after the primary immunization. Saliva two was one week, and three was 3 weeks after the second immunization. They were all boosted by oral administration at 24 weeks and saliva four was taken one week later. As can be seen in FIG. 11, the oral route and oral plus IM routes generated the highest salivary IgA titers. Demonstration of such high mucosal antibody titers following oral immunization is of considerable significance and highly desired for protection against organisms invading through mucosal surfaces.

## (75) EXAMPLE 6

- (76) PROLIFERATIVE RESPONSES ARE GENERATED TO ANTIGENS COCHLEATES
- Balb C mice were immunized three times by a variety of protocols with cochleates containing 50 .mu.g influenza glycoprotein at 0 and 3 weeks and with 12.5 .mu.g at 14 weeks. Some mice were sacrificed at 15 weeks and their spleens removed. (FIGS. 12(A) and (B), 13(A) and (B),  $\overline{14}(A)$  and (B), 15(A) and (B) and 16(A) and (B)). Part (B) of each figure shows the proliferative response to ultraviolet light-irradiated influenza virus over several days in culture. Part (A) shows the response to media as a control. Proliferative responses are measured for DNA synthesis by .sup.3 H-Thd uptake into cells. All routes led to antigen-specific proliferation. primary followed by 2 IM boosts gave the highest response, with 3 oral immunizations were a close second.

### (78) EXAMPLE 7

- (79) CYTOLYTIC ACTIVITY IS GENERATED UPON IMMUNIZATION WITH SENDAI COCHLEATES
- A 50 .mu.g protein dose of Sendai glycoprotein-containing cochleates was given orally. Two weeks later the animal (Balb/C mouse) was sacrificed and spleen cells obtained. Cytolytic activity of the spleen cells was measured by their ability to cause the release of Chromium 51 from target cells presenting Sendai antigens. The non-immunized mouse did not kill Sendai virus (SV) pulsed cells with in culture restimulation (N/SV/SV) or non-Sendai presenting cells (N/N/N). (FIG. 17). In contrast, Sendai cochleate immunized mice killed SV pulsed targets to a very high degree and non-pulsed targets Cytolytic activity is crucial to clearance of cells

infected with viruses, or intracellular parasites or to cancer cells. It is a highly desirable activity for a vaccine to induce, but classically has not been seen with most non-living vaccines. This is an important feature of protein-cochleate vaccines.

## (81) EXAMPLE 8

- (82) PEPTIDE COCHLEATE VACCINES GIVEN ORALLY GENERATE ANTIBODY RESPONSES
- Cochleates containing a peptide from the surface glycoprotein of the AIDS virus cross-linked to phosphatidylethanolimine were given to mice orally three times (0, 3 and 6 weeks). (FIG. 18). In addition, cochleates containing only Sendai glycoproteins or Sendai plus the HIV peptide (amino acids 494-518) were given to separate groups of mice. Serum antibody levels were determined by ELISA. When 494-518 was formulated alone, significant antibody titers were not seen. However, with Sendai a titer of 1000 was obtained to the peptide and 2000 to Sendai. The ability to stimulate circulating antibody responses to a peptide given orally represents a significant achievement for this new class of vaccines.

# (84) EXAMPLE 9

- (85) ORAL IMMUNIZATION WITH SENDAI-COCHLEATES STIMULATES MUCOSAL CELL MEDIATED RESPONSES
- (86) Balb C mice were given cochleates containing 50 .mu.g of Sendai glycoproteins orally and intraperitoneally simultaneously. They were sacrificed 2 weeks later, and Peyer's Patches were obtained by cutting from the surface of the small intestine. Cells isolated from the Peyer's Patches were incubated in culture with ultraviolet light-inactivated

Sendai virus as a stimulatory antigen. Proliferation was measured as .sup.3 H-Thd uptake. It can be seen that while cells from a naive (unimmunized) mouse proliferate to some degree in response to Sendai virus, the immunized animal proliferated to a much greater degree. This indicates that the Sendai cochleares survived the stomach to be taken up by the microfold (M) cells of the small intestine and stimulated the T helper cells present there. The ability to do this is crucial to a successful oral vaccine.

(87) While the invention has been described in detail and with reference to specific embodiments thereof, it will be apparent to one skilled in the art that various changes and modifications can be made therein without departing from the spirit and scope thereof.

### CLAIMS:

What is claimed is:

- 1. A method of immunizing a host, comprising administering a biologically effective amount of a protein- or peptide-cochleate which comprises the following components:
- a) a protein or peptide component to which an immune response is elicited,
  - b) a negatively charged lipid component, and
  - c) a divalent cation component.
- 2. The method of claim 1, wherein the component a) is a peptide.
- 3. The method of claim 2, wherein the peptide is hydrophobic.
- 4. The method of claim 2, wherein the peptide is covalently linked to a  $\,$

phospholipid.

- 5. The method of claim 1, wherein the component a) is  $\operatorname{glycoprotein}$ .
- 6. The method of claim 1, wherein the component a) is membrane protein.
- 7. The method of claim 1, wherein the component a) is  $\operatorname{glycoprotein}$ .
- 8. The method of claim 1, wherein the protein or peptide component is from a bacterial or animal virus.
- 9. The method of claim 8, wherein the protein component is membrane glycoprotein from Sendai virus.
- 10. The method of claim 8, wherein the protein component is membrane glycoprotein from influenza virus.
- 11. The method of claim 1, wherein the protein or peptide component is from a bacterium.
- 12. The method of claim 1, wherein the protein or peptide component is from a parasite.
- 13. The method of claim 1, wherein the protein or peptide component is from an animal cell.
- 14. The method of claim 13, wherein the animal cell is from a mammal.
- 15. The method of claim 14, wherein the mammal is a human being.  $\ensuremath{\text{a}}$
- 16. The method of claim 1, wherein the protein or peptide component is from an animal tissue.
- 17. The method of claim 16, wherein the animal tissue is from a mammal.

- 18. The method of claim 17, wherein the mammal is a human being.  $\,$
- 19. The method of claim 1, wherein the negatively charged lipid component is phospholipid.
- 20. The method of claim 1, wherein the phospholipid is selected from the group consisting of phosphatidylserine, phosphatidylglycerol, phosphatidylinositol, and phosphatidic acid.
- 21. The method of claim 1, wherein the divalent cation component is a cationic compound capable of chelating and complexing negatively charged lipids.
- 22. The method of claim 21, wherein the divalent cation component is selected from the group consisting of Ca.sup.++, Mg.sup.++, Ba.sup.++ and Zn.sup.++.
- 23. The method of claim 22, wherein the divalent cation component is  ${\rm Ca.sup.}_{++}$ .
- 24. The method of claim 1, wherein said administering is by a peroral route.
- 25. The method of claim 1, wherein said administering is by an intramuscular, a subcutaneous, an intradermal, an intranasal, an intra-ocular, an intraperitoneal, an intra-vaginal, an intra-rectal or a lung aerosol route.
- 26. The method of claim 22, wherein the divalent cation component is Ca.sup.++ or Mg.sup.++.